

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10599447
Filing Date	2006-09-28
First Named Inventor	Yoshinori MORIYAMA
Art Unit	NONE
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q97449

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

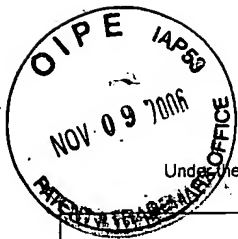
- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter D. Olexy/	Date (YYYY-MM-DD)	2006-11-09
Name/Print	Peter D. Olexy	Registration Number	24,513

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**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6534304	B1	2003-03-18	Yamamoto et al.	
	2	6225104	B1	2006-11-08	Cavaliere Vesely et al.	
	3	5710132		1998-01-20	Moller et al.	

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**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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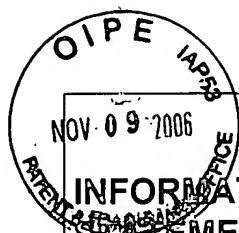
**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	JP 09-301887			1997-11-25	Youshindou:KK; Toa Boshoku KK		<input type="checkbox"/>

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	2	JP 05-344864			1993-12-27	Morinaga Milk Ind Co., Ltd.		<input checked="" type="checkbox"/>
	3	JP 05-176713			1993-07-20	Morinaga Milk Ind Co., Ltd.		<input checked="" type="checkbox"/>
	4	JP 01-268641			1989-10-26	Snow Brand Milk Prod Co., Ltd.		<input checked="" type="checkbox"/>
	5	JP 2000-197469			2000-07-18	New Food Creation Gijutsu Kenkyu Kumiai		<input checked="" type="checkbox"/>
	6	JP 2000-189105			2000-07-11	Takanashi Milk Products Co., Ltd.		<input checked="" type="checkbox"/>
	7	JP 2002-332242			2002-11-22	Morinaga Milk Ind Co., Ltd.		<input checked="" type="checkbox"/>
	8	JP 2001-226289			2001-08-21	Snow Brand Milk Prod Co., Ltd.		<input checked="" type="checkbox"/>
	9	JP 08-099888			1996-04-16	Snow Brand Mild Prod Co., Ltd.		<input checked="" type="checkbox"/>
	10	JP 2001-226289			2001-08-21	Snow Brand Milk Prod Co., Ltd.		<input checked="" type="checkbox"/>
	11	EP 0 825 869		B1	1998-03-04	Probi AB		<input checked="" type="checkbox"/>
	12	WO 01/32836		A1	2001-05-10	Valio Ltd		<input checked="" type="checkbox"/>



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	13	WO 03/090546		A1	2003-11-06	Mahdavi, J	<input checked="" type="checkbox"/>
	14	WO 02/43753		A1	2002-06-06	Morinaga Milk Industry Co., Ltd.	<input checked="" type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	DATABASE CALPUS ON STN, ABSTRACT NO. 2001:79928 ABSTRACT & BARRETO, R., ET AL., 'EXPERIMENTAL ACUTE ALCOHOL PANCREATITIS-RELATED LIVER DAMAGE AND ENDOTOXINEMIA; PROTECTIVE EFFECT OF A PROBIOTIC BUT NOT OF METRONIDAZOLE', INTERNATIONAL MEDICAL JOURNAL (2000) VOL., 7, NO. 4, PAGES 251 TO 256	<input type="checkbox"/>
	2	BULLETIN OF JAPAN DAIRY TECHNICAL ASSOCIATION; VOL. 51-2001; PAGES 1-21; LACTOFERRIN IN MILK; KEI-ICHI SHIMAZAKI; DAIRY SCIENCE LABORATORY; DEPARTMENT OF ANIMAL PRODUCT SCIENCE; GRADUATE SCHOOL OF AGRICULTURE; Hokkaido University; Kita-9, Nishi-9, Kita-ku, Sapporo 060-8589	<input type="checkbox"/>
	3	HEAT STABILITY OF BOVINE LACTOFERRIN AT ACIDIC pH; HIROAKI ABE, ET AL.; NUTRITIONAL SCIENCE LIBRARY; 1991 J. DAIRY SCI 74:65-71	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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